



*JPW*

PATENT  
245001-2000.2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Gary KATZ and Greg MAYNARD  
Serial No.: 10/771,993  
Filed: February 3, 2004  
For: AUTOMATED EXCHANGE FOR TRADING  
COMPLEX ORDERS  
Art Unit: 3622

745 Fifth Avenue  
New York, NY 10151

**FIRST CLASS MAIL CERTIFICATE**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 11, 2008.

Stephen J. Lieb, Reg. No. 41,943

Name of Applicant, Assignee or Registered Representative

*[Handwritten Signature]*

Signature

April 11, 2008

Date of Signature.

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. 1.97 (b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Examiner's attention is invited to the following documents which are set forth in the accompanying Form PTO-1449.

This Information Disclosure Statement is not a representation that the cited documents considered most pertinent, or that a search has been undertaken, or that any of the

cited documents are indeed prior art. The Examiner is invited to undertake an independent search.

Applicants respectfully request that the Examiner consider and make of record the cited documents and that a copy of Form PTO-1449, initialed by the Examiner be returned to applicants' attorneys.

It is believed that no fees are required by the instant submission as it is prior to receipt of the first Office Action in this application. However, if any fees are required, or if any overpayment has been made, please charge Deposit Account No. 50-0320.

Respectfully submitted,

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